

02-25-92

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No. <b>GB919990129US1</b> First Named Inventor or Application Identifier <b>Henry Esmond Butterworth</b> Express Mail Label No. <b>ET580099243US</b>
<input checked="" type="checkbox"/> <b>I am the inventor.</b> <input type="checkbox"/> <b>I am the assignee.</b>	

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

## **APPLICATION ELEMENTS**

*See MPEP chapter 600 concerning utility patent application contents.*

**ADDRESS TO:** *Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231*

- Fee Transmittal Form**  
(Submit an original, and a duplicate for fee processing)
- Specification** **(Total Pages) 28**  
(Preferred arrangement set forth below)

- **Descriptive title of the Invention**
- **Cross References to Related Application**
- **Statement Regarding Fed sponsored R & D**
- **Reference to Microfiche Appendix**
- **Background of the Invention**
- **Brief Summary of the Invention**
- **Brief Description of the Drawings (if filed)**
- **Detailed Description**
- **Claim(s)**

3.  - Abstract of the Disclosure Drawing(s) (35 USC 113) (Total Pages) 2

**4. Oath or Declaration** **(Total Pages)** \_\_\_\_\_

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation /divisional with Box 17 completed)  
[Note Box 5 below]

5.  **Incorporation by Reference (useable if Box 4b is checked)**  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6.  *Microfiche Computer Program (Appendix)*

7. *Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)*

a.  *Computer Readable Copy*

b.  *Paper Copy (identical to computer copy)*

c.  *Statement verifying identity of above copies*

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & document(s))

9.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

14.  Small Entity  Statement filed in prior application,  
Statement(s) Status still proper and desired

15.  Certified Copy of Priority Document(s)  
if foreign priority is claimed

16.  Other: Express Mail Certificate

**17. If a *CONTINUING APPLICATION*, check appropriate box and supply the requisite information:**

*Continuation*     *Divisional*     *Continuation-in-part (CIP)*    *of prior application No.:*

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label \_\_\_\_\_  
 Or Correspondence address below

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COUNTRY	<i>United States</i>	TELEPHONE	<i>(801) 994-4646</i>	FAX	<i>(801) 322-1054</i>

**Assignee Name:** INTERNATIONAL BUSINESS MACHINES CORPORATION

**Assignee Residence:** Armonk, New York

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<b>FEE TRANSMITTAL</b>		<b>Complete If Known</b>	
		<b>Application Number</b>	Not yet assigned
		<b>Filing Date</b>	February 22, 2002
		<b>First Named Inventor</b>	Henry Esmond Butterworth
		<b>Group Art Unit</b>	
		<b>Examiner Name</b>	
<b>TOTAL AMOUNT OF PAYMENT</b>	\$ 900	<b>Attorney Docket Number</b>	GB919990129US1

<b>METHOD OF PAYMENT (check one)</b>		<b>FEES CALCULATION (continued)</b>																																																																																																																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to.		<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2520</td><td>147</td><td>2520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1840*</td><td>113</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1510</td><td>138</td><td>1510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - intentional</td><td></td></tr> <tr><td>141</td><td>1280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1280</td><td>242</td><td>640</td><td>Utility issue fee</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1 129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR 1 129(b))</td><td></td></tr> <tr> <td colspan="4">Other fee (specify) _____</td> <td colspan="2"></td> </tr> <tr> <td colspan="2"><b>SUBTOTAL (1)</b></td> <td colspan="2">\$ 740</td> <td colspan="2"></td> </tr> <tr> <td colspan="2"><b>2. 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Claims</td> <td></td> <td></td> <td>0</td> <td>x 280 =</td> <td>0</td> </tr> <tr> <td colspan="2"><b>SUBTOTAL (2)</b></td> <td colspan="2">\$ 120</td> <td colspan="2"></td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee</td> <td colspan="2"><b>SUBTOTAL (3)</b> \$ 40</td> </tr> </tbody> </table>				Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2520	147	2520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1440	218	720	Extension for reply within fourth month		128	1960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1510	138	1510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - intentional		141	1280	241	640	Petition to revive - unintentional		142	1280	242	640	Utility issue fee		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)	40	146	740	246	370	Filing a submission after final rejection (37 CFR 1 129(a))		149	740	249	370	For each additional invention to be examined (37 CFR 1 129(b))		Other fee (specify) _____						<b>SUBTOTAL (1)</b>		\$ 740				<b>2. 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<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name <b>Brian C. Kunzler</b>				Reg. Number	38,527
Signature 		Date	Feb 22, 2002		
Deposit Account User ID					

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PATENT APPLICATION  
Docket No.: GB919990129US1

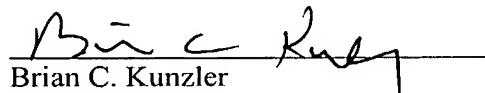
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"Express Mail" Mailing Label No.: ET580099243US

Date of Deposit: February 22, 2002

I hereby certify that this patent application in the name of Henry E. Butterworth, Robert B. Nicholson, William J. Scales, and Douglas Turner for LOG-STRUCTURED ARRAY, together with the drawings, and Certified Copy of Priority Documents, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

  
\_\_\_\_\_  
Brian C. Kunzler  
Reg. No. 38,527  
Attorney for Applicant

Date: February 22, 2002

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